# 1AP3 Rec'd PCT/PTO 23 MAR 2008 pc/f

PTO/SB/21 (09-04)

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49

### **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

**Application Number** 10/526267-Conf. #6419 Filing Date March 2, 2005 First Named Inventor Qingshan Huang Art Unit N/A Examiner Name Not Yet Assigned Attorney Docket Number H0757.70000US00

ENCLOSURES (Check all that apply)									
X Fee Transr	mittal Form	Drawing(s)		After Allowance Communication to TC					
Fee Attached: \$125.00 check		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences					
X Amendment/Reply (Preliminary)		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)					
After Final		Petition to Convert to a Provisional Application		Proprietary Information					
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter					
X Extension of Time Request		Terminal Disclaimer		X Other Enclosure(s) (please Identify below):					
Express Abandonment Request		Request for Refund		Combined Declaration and Power of Attorney Application Data Sheet (3 pages)					
x Information Disclosure Statement (7 enclosed references)		CD, Number of CD(s)		Sequence Listing Diskette (1 copy) Sequence Listing Paper Copy (1 copy) Statement re Sequence (1 page) Part 2 Copy of Notice Return Receipt Postcard					
Certified Copy of Priority Document(s)		Landscape Table on CD							
Reply to Missing Parts/ Incomplete Application		Remarks							
Reply to Missing Parts under 37 CFR 1.52 or 1.53		If a fee due, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
Firm Name									
Signature	- To	sper-							
Printed name MaryDilys S. Anderson									
Date	March 20, 2006			52,560					

Certificate	of	Mailing	Under	37	CFR	1.8	(a)	

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 20, 2006

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
no person are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paper Complete if Known Effective on 12/08/2004. 10/526267-Conf. #6419 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** FEE TRANSMITTAL March 2, 2005 Filing Date First Named Inventor Qingshan Huang For FY 2005 Examiner Name Not Yet Assigned Applicant claims small entity status. See 37 CFR 1.27 N/A Art Unit H0757.70000US00 TOTAL AMOUNT OF PAYMENT Attorney Docket No. 125.00 METHOD OF PAYMENT (check all that apply) Other (please identify): Credit Card Money Order None x Check Wolf, Greenfield & Sacks, P.C. Deposit Account Deposit Account Number: 23/2825 Deposit Account Name: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES Small Entity **Small Entity Small Entity Application Type** Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) 300 500 250 200 100 Utility 150 200 100 100 50 130 65 Design 160 80 300 150 Plant 200 100 600 300 300 150 500 250 Reissue Provisional 200 100 0 0 0 **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) Multiple dependent claims 360 180 Multiple Dependent Claims Extra Claims **Total Claims** Fee Paid (\$) Fee (\$) Extra Claims Indep. Claims Fee (\$) - 3 = 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Fee Paid (\$) **Total Sheets** Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) x - 100 = Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 2251 Extension for response within first month 60.00 2051 Surcharge-Late oath or declaration 65.00 SUBMITTED BY Registration No (Attorney/Agent) 52,560 Telephone 617-646-8301 Signature Date March 20, 2006 MaryDilys S. Anderson Certificate of Mailing Under 37 CFR 1.8(a) I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Melissa L.B. Lyans Melissa L.B. Lyons Dated :March 20, 2006



### United States Patent and Trademark Office

Page 1 of 3

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

Qingshan Huang

H0757.70000US00

INTERNATIONAL APPLICATION NO.

PCT/CN03/00522

I.A. FILING DATE

PRIORITY DATE

07/01/2003

09/02/2002

07/01/2003

CONFIRMATION NO. 6419
371 FORMALITIES LETTER

\*OC000000017261123\*

23628
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10/526,267

Date Mailed: 12/20/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/02/2005
- English Translation of the IA filed on 03/02/2005
- Copy of the International Search Report filed on 03/02/2005
- Copy of IPE Report filed on 03/02/2005
- Preliminary Amendments filed on 03/02/2005
- Information Disclosure Statements filed on 03/02/2005
- U.S. Basic National Fees filed on 03/02/2005
- Priority Documents filed on 03/02/2005

Confirmation
Docketing

0 2/20/06 (2) December 2

DEC 2 3 2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Öath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
  must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

### • \$65 Surcharge.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

 U.S. APPLICATION NUMBER NO.
 INTERNATIONAL APPLICATION NO.
 ATTY. DOCKET NO.

 10/526,267
 PCT/CN03/00522
 H0757.70000US00

FORM PCT/DO/EO/905 (371 Formalities Notice)